

# Republic of Indonesia

## INDONESIA LOCAL SERVICE DELIVERY IMPROVEMENT PROJECT

(P512328)



### NEGOTIATED ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

**November 14, 2025**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. Republic of Indonesia (the Borrower) will implement the Indonesia Local Service Delivery Improvement Project (the LSDP), with the involvement of the Ministry of Home Affairs (MOHA) and Ministry of Public Work (MPW) as set out in the Loan Agreement (the Agreement). The International Bank for Reconstruction and Development (hereinafter the Bank) has agreed to provide financing for the Project as set out in the Agreement.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Bank. Said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided for under the referred Agreement, the Borrower shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time if necessary, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower, through MOHA, and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Borrower through Director of Regional Development Planning, Evaluation and Information (PEIPD) of DG Regional Development (Bangda), MOHA. The Borrower shall promptly disclose the updated ESCP.
5. The subsection on “Indicators for Implementation Readiness” below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the “Timeframe” column below irrespective of whether they are listed in the referred subsection.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT</b>			
A	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <ol style="list-style-type: none"> <li>1. Establish and maintain the NMC and RMC (Regional Management Consultant) with qualified staff and resources to support management of environmental and social (E&amp;S) risks and impacts of the Project. Each NMC and RMC shall recruit and retain one environmental specialist and one social specialist who has the necessary skill to manage the E&amp;S risks and impacts associated with the Project. The specialists under the NMC will be hired as individual consultants by the CPMU and specialists under the RMCs will be hired by the NMC. The terms of references for the specialists must be acceptable to the Bank.</li> <li>2. DLH (<i>Dinas Lingkungan Hidup/Environmental Agency</i>) is the agency in each Local Government (LG) that is responsible for managing solid waste including dealing with the E&amp;S impacts and risks. The relevant LG shall cause its DLH to appoint two individual staff as focal points who will be responsible for managing these risks and impacts of the subproject in each LG. The focal points will coordinate with the environmental and social specialists under the RMC.</li> </ol>	<ol style="list-style-type: none"> <li>1. Social specialists and Environmental specialists to be recruited within three months after Effective Date, and thereafter maintain these positions throughout Project implementation.</li> <li>2. Focal points to be appointed before signing the relevant LG Grant Agreement, and thereafter maintain these positions throughout Project implementation.</li> </ol>	<ol style="list-style-type: none"> <li>1. CPMU and NMC</li> <li>2. Relevant Participating LG</li> </ol>
B	<p><b>CAPACITY BUILDING PLAN/MEASURES</b></p> <ol style="list-style-type: none"> <li>1. CPMU, LPIUs, NMC, RMC and LMC to receive training on ESMF, POM, and all other ESF documents prepared under the Project.</li> <li>2. CPMU, LPIUs, and LG stakeholders (such as DLH and local public works agency to receive training on ESMF, POM, and all other ESF documents prepared under the Project.</li> </ol>	<p>First year and after Mid Term Review</p> <p>Annual basis</p>	<p>CPMU as supported by NMC</p> <p>CPMU as supported by NMC</p>
<b>MONITORING AND REPORTING</b>			
C	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project. The reports shall include:</p> <ul style="list-style-type: none"> <li>- Status of preparation and implementation of E&amp;S documents required under the ESCP,</li> <li>- Summary of stakeholder engagement activities carried out as per the Stakeholder Engagement Plan,</li> <li>- Complaints submitted to the grievance mechanism(s), the grievance log, and progress made in resolving them,</li> <li>- E&amp;S performance of contractors and subcontractors as reported through monthly contractors' and supervision firms' reports</li> <li>- Number and status of resolution of incidents and accidents reported under action E below.</li> </ul>	<p>Semestral reports to the Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the Bank no later than 45 days after the end of each reporting period.</p>	<p>CPMU (Central Project Management Unit) as supported by NMC (National Management Consultant)</p>

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D	<p><b>CONTRACTORS' MONTHLY REPORTS</b></p> <p>Require contractors and supervising firms to prepare monthly monitoring reports on E&amp;S performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Bank.</p>	Submit monthly reports to the Bank upon request, as annexes to the reports to be submitted under action C above	CPMU as supported by NMC and the relevant LPIU
E	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Notify the Bank of any incident or accident relating to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including those resulting in death or significant injury to workers or the public; acts of violence, discrimination or protest; unforeseen impacts to cultural heritage or biodiversity resources; pollution of the environment; dam failure; forced or child labor; displacement without due process (forced eviction); allegations of sexual exploitation or abuse (SEA), or sexual harassment (SH); or disease outbreaks. Provide available details of the incident or accident to the Bank upon request.</p> <p>Arrange for an appropriate review of the incident or accident to establish its immediate, underlying and root causes. Prepare, agree with the Bank, and implement a Corrective Action Plan that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident. Provide available details upon request.</p> <p>Provide review report and Corrective Action Plan to the Bank no later than 10 days following the submission of the initial notice, unless a different timeframe is agreed to in writing by the Bank.</p>	CPMU as supported by NMC and the relevant Local Project Implementation Unit (LPIU)
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENTS AND/OR PLANS</b></p> <ol style="list-style-type: none"> <li>Adopt, and implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs. The ESMF includes the use of a negative list to exclude high-risk activities, use of eligible list of investments, and screening of environmental and social risks and classification, use of screening forms to identify associated facilities, as well as references to best practices and standards of the World Bank Group General Environmental, Health and Safety (EHS) Guidelines.</li> <li>Under Component 1.1 Prepare, adopt and implement Social Environmental Strategic Assessment (SESA).</li> <li>Under Component 2, prepare, adopt, and implement subproject-level Environmental and Social Management Plan (ESMP), ESCOP or equivalent document, and any other E&amp;S</li> </ol>	<ol style="list-style-type: none"> <li>The ESMF has been prepared, consulted, disclosed, and adopted and will be implemented throughout Project implementation.</li> <li>Throughout Project implementation.</li> <li>Prior to commencement of construction works, and</li> </ol>	<ol style="list-style-type: none"> <li>CPMU</li> <li>CPMU</li> <li>Relevant LPIU as supported by RMC</li> </ol>

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<p>instruments required for respective subproject, in accordance with relevant risks, consistent with the ESMF. This includes relevant environmental documents in conjunction with the Government of Indonesia's requirements, such as <i>Adendum Analisis Mengenai Dampak Lingkungan</i> (Adendum AMDAL), <i>Upaya Pengelolaan Lingkungan – Upaya Pemantauan Lingkungan</i> (UKL-UPL), and <i>Surat Pernyataan Pengelolaan Lingkungan</i> (SPPL) where relevant.</p> <p>4. When a subproject is screened to impose adverse impacts on waste pickers, prepare and implement a Livelihood Plan for affected waste pickers in accordance with the ESMF.</p>	<p>thereafter implement the E&amp;S instruments throughout Project implementation.</p> <p>4. Livelihood Plan to be approved prior to commencement of construction works, and implement based on the timeline in the Livelihood Plan</p>	<p>4. Relevant LPIU as supported by RMC</p>
<p>1.2</p> <p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>1. Incorporate the relevant aspects of the ESCP, including the relevant E&amp;S instruments, the Labor Management Procedures (LMP), and code of conduct, into the E&amp;S specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and that they require their subcontractors to comply with the E&amp;S specifications of their respective contracts. Provide copies of the relevant contracts with contractors/subcontractors and supervision firms to the Bank.</p> <p>2. Require contractors to prepare, adopt and implement a Contractor's Environmental and Social Management Plan (C-ESMP), including a labor management plan, detailing the methods to comply with the Project E&amp;S instruments and consistent with the ESMF.</p>	<p>1. As part of the preparation of procurement documents and respective contracts. Copies of relevant contracts provided to the Bank upon request.</p> <p>2. CESMP to be prepared prior to the commencement of construction works and contractor to be supervised throughout Project implementation</p>	<p>1. Relevant LPIU as supported by RMC</p> <p>2. Relevant LPIU as supported by RMC</p>
<p>1.3</p> <p><b>TECHNICAL ASSISTANCE</b></p> <p>Carry out the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Bank, that are consistent with the ESSs. Thereafter prepare and finalize the outputs of such activities comply with the terms of reference.</p>	<p>Throughout Project implementation.</p>	<p>CPMU supported by NMC</p>
<p>1.4</p> <p><b>ASSOCIATED FACILITIES</b></p>		

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	Ensure that the activities in the associated facilities are carried out in accordance with the applicable requirements of this ESCP and the relevant ESSs.	Throughout Project implementation.	Relevant LPIU as supported by RMC
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Adopt and implement the Labor Management Procedures (LMP) for the Project with reference to the World Bank Group General EHS Guidelines on occupational health and safety, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	The LMP has been prepared, disclosed, and adopted and will be implemented throughout Project implementation.	CPMU and relevant LPIU
2.2	<p><b>OCCUPATIONAL HEALTH AND SAFETY MANAGEMENT PLAN</b></p> <p>Prepare, adopt, and implement OHS, and SEA/SH and Violence Against Children (VAC) prevention measures specified in the LMP and ESMF, and, and in compliance with the World Bank Group Environmental, Health and Safety Guidelines (EHSGs), Good International Industry Practice (GIIP) and national legislation for all Project activities.</p>	OHS measures to be adopted before commencing civil works and maintained throughout Project implementation.	CPMU and relevant LPIU
2.3	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish, maintain, and operate a feedback and grievance redress mechanism (FGRM) for Project workers, as described in the LMP and consistent with ESS 2.</p>	FGRM to be prepared and adopted before engaging Project workers and to be maintained throughout Project implementation	CPMU and relevant LPIU
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p><b>WASTE MANAGEMENT PLAN</b></p> <p>Prepare, adopt, and implement measures and procedures to manage hazardous waste and non-hazardous waste, consistent with the ESS 3 and the ESMP or equivalent document as specified in the ESMF, with reference to the World Bank Group General EHS Guidelines on waste management.</p>	Same timeframe as the ESMP and maintained throughout Project implementation	Relevant LPIU as supported by RMC
3.2	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b></p> <p>Incorporate resource efficiency and pollution prevention and management measures into the project design, ESMP, ESCOP, or equivalent document requirements, and construction supervision, consistent with ESS3 and the ESMF, with reference to the World Bank Group General EHS Guidelines on resource efficiency.</p>	Same timeframe as the ESMP, ESCOP and maintained throughout Project implementation.	Relevant LPIU as with supported by RMC

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<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<p><b>TRAFFIC AND ROAD SAFETY</b></p> <p>Prepare, adopt, and implement measures to assess and manage traffic and road safety risks as required in the ESMP, ESCOP, or equivalent document to be prepared under subprojects involving construction works.</p>	Same timeframe as the ESMP and maintained throughout Project implementation.	Relevant LPIU as supported by RMC
4.2	<p><b>COMMUNITY HEALTH AND SAFETY</b></p> <p>Prepare, adopt, and implement measures and actions to assess and manage specific risks and impacts to the community arising from Project activities, including in relation to ESHS, Project workers, any risks of labor influx, and sexual exploitation and abuse (SEA)/sexual harassment (SH), and include mitigation measures in the ESMP, ESCOP, or equivalent document to be prepared in accordance with the ESMF, with reference to the World Bank Group General EHS Guidelines on community, health, and safety.</p>	Same timeframe as the ESMP and maintained throughout Project implementation.	Relevant LPIU as supported by RMC
4.3	<p><b>SEA AND SH RISKS</b></p> <p>Prepare, adopt, and implement measures and actions to assess and manage the risks of SEA/SH as part of the ESMP, ESCOP, or equivalent document.</p>	Same timeframe as the ESMP and maintained throughout Project implementation.	Relevant LPIU as supported by RMC
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	<p><b>RESETTLEMENT [FRAMEWORK] [PLAN] or [PLANS]</b></p> <p>Prepare, adopt, and implement a Land Acquisition and Resettlement Policy Framework (LARPF) as part of the ESMF for the Project, consistent with ESS 5. The LARPF describes policies, procedures and processes that will be followed throughout the project in the course of mitigating adverse social impacts due to project-related land acquisition, restriction on land use and involuntary resettlement. Identification of potentially project affected persons with and/or without recognizable rights to land was designed as part of LARPF.</p> <p>Prepare, adopt, and implement an Abbreviated Land Acquisition and Resettlement Plan (LARAP) for each activity under the Project for which the LARPF requires such Abbreviated LARAP</p> <p>The Borrower shall ensure that: (i) the estimated budget needed for land acquisition and resettlement activities (as per the Abbreviated LARAP), Social Assessment and the action plan, livelihood plan for subprojects approved by the World Bank under the Project's Annual Work Plan (AWP) is included timely in the government budget allocation process; and (ii) the implementation of such activities are completed in compliance with the approved document prior to the signing of civil work contracts for the related subprojects</p>	<p>The LARPF has been prepared, disclosed, and adopted, and will be implemented throughout Project implementation.</p> <p>Prior to land acquisition thereafter implement throughout Project implementation</p>	<p>Relevant LPIU as supported by RMC</p> <p>Relevant LPIU as supported by RMC</p>

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	Develop and implement the arrangements for the grievance mechanism for resettlement in accordance with ESS 10 as further clarified in the LARPF and the grievance mechanism under Section 10.2 below.	Grievance mechanism to be operational prior to initiating any land acquisition and resettlement and to be maintained throughout Project implementation.	Relevant LPIUs and RMC
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<b>BIODIVERSITY RISKS AND IMPACTS</b> Prepare, adopt, and implement biodiversity risk screening, including the use of the negative list in the ESMF to avoid environmentally sensitive, critical and/or natural habitats, and desktop screening of key biodiversity areas (KBAs) using the Integrated Biodiversity Assessment Tool (IBAT) when required. Based on the findings, prepare, adopt, and implement mitigation measures to address biodiversity risks as part of the subproject-level ESMP, ESCOP, or equivalent document consistent with ESMF and ESS 6.	Same timeframe as the ESMP and maintained throughout Project implementation.	Relevant LPIU as supported by RMC
<b>ESS 8: CULTURAL HERITAGE [</b>			
8.1	<b>CULTURAL HERITAGE RISKS AND IMPACTS</b> Prepare, adopt, and implement screening of cultural heritage, including management of chance finds of cultural objects under the ESMF, in accordance with national regulations. Based on findings, prepare, adopt, and implement mitigation measures as part of the subproject-level ESMP, ESCOP, or equivalent document consistent with the ESMF and ESS 8.	Same timeframe as the ESMP and maintained throughout Project implementation.	Relevant LPIU as supported by RMC
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN</b> Prepare, adopt, and implement a Stakeholder Engagement Framework (SEF) for the Project, consistent with ESS 10, which includes measures to provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation.  Each participating LG to prepare a Stakeholder Engagement Plan (SEP) before they commence their first subproject in accordance with the ESF.	Throughout Project implementation  SEP approved prior to the start of their first subproject and implemented based on the timeline identified in the SEP	CPMU  Relevant LPIU as supported by RMC
10.2	<b>PROJECT GRIEVANCE MECHANISM</b> Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and		

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	<p>facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected person and other stakeholders, at no cost including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Project GRM to be operational immediately after loan effectiveness and maintained throughout Project implementation.</p>	<p>CPMU and relevant LPIU</p>
<b>INDICATORS FOR IMPLEMENTATION READINESS</b>			
<p>The following actions are indicators for implementation readiness:</p> <ol style="list-style-type: none"> <li>1. Hiring E&amp;S specialists to support CPMU (refer to organizational structure point A no. 1)</li> <li>2. Activation of Project GRM (refer to Project Grievance Mechanism point no. 10.2)</li> </ol>			